## UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF OHIO WESTERN DIVISION

UNITED STATES SECURITIES AND EXCHANGE COMMMISSION,

Plaintiff,

v.

WILLIAM M. APOSTELOS, WMA CASE NO. 1:15-cv-00699-SJD

ENTERPRISES, LLC, MIDWEST GREEN RESOURCES, LLC, and OVO WEALTH JUDGE SUSAN J. DLOTT

MANAGEMENT, LLC,

Defendants, and

**CONNIE APOSTELOS, APOSTELOS ENTERPRISES, INC., COLEMAN CAPITAL, INC., and SILVER BRIDLE** RACING, LLC,

**Relief Defendants.** 

PLAINTIFF UNITED STATES SECURITIES AND EXCHANGE COMMISSION'S RESPONSE TO MOTION OF DEFENDANTS WILLIAM APOSTELOS, ET AL. FOR CONTINUANCE TO ANSWER COMPLAINT

Plaintiff United States Securities and Exchange Commission ("SEC") respectfully submits this response to the motion of Defendants William Apostelos, et al. for a continuance to answer the complaint (Dkt. #15) (hereinafter, the "Motion").

The SEC does not object to Mr. Apostelos's request for a 20-day extension of time to answer the Complaint. However, the Motion should be denied in its entirety as to WMA Enterprises, LLC, Midwest Green Resources, LLC, and OVO Wealth Management, LLC (the "Corporate Defendants"). As corporate entities, the Corporate Defendants may only appear in federal court through licensed counsel and therefore may not be represented by Mr. Apostelos. See Rowland v. California Men's Colony, Unit II Men's Advisory Council, 506 U.S. 194, 201-02 (1993); Taylor Steel, Inc. v. Keeton, 417 F.3d 598, 603 (6th Cir. 2005).

For the foregoing reasons, the SEC does not oppose the Motion as to Mr. Apostelos, but respectfully requests that the Court deny the Motion as to the Corporate Defendants.

Respectfully submitted,

s/ Meredith Jenkins Laval\_

Meredith Jenkins Laval, Trial Attorney

John E. Birkenheier, Trial Attorney

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Attorneys for Plaintiff

Dated: January 8, 2016

## **CERTIFICATE OF SERVICE**

I, Meredith Jenkins Laval, an attorney for the United States Securities and Exchange Commission, hereby certify that on January 8, 2016, a copy of the foregoing Plaintiff United States Securities and Exchange Commission's Response to Motion of Defendants William Apostelos, et al. for Continuance to Answer Complaint was served by ECF on Filing Users and by UPS on William M. Apostelos, WMA Enterprises, LLC, Midwest Green Resources, LLC, Connie Apostelos, OVO Wealth Management, LLC, Apostelos Enterprises, Inc., Coleman Capital, Inc., and Silver Bridle Racing, LLC at 5818 Wilmington Pike, Centerville, OH 45459.

s/ Meredith Jenkins Laval
Meredith Jenkins Laval